



Freedom of Information Policy

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| Approved By: | Wessex MAT Board of Trustees |
| Date: | March 2023 |
| Review Date: | March 2026 |
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Freedom of Information Policy

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| Date of Issue: | March 2023 |
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| Policy applies to: | Any member of the community wishing to request information from WMAT subject to the Freedom of Information Act 2000 and to WMAT and its schools when responding. |
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| Policy Version Number: | 01 |
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| Purpose of the document: | To provide an understanding of the Trust's policy and procedures for; a) the release and publication of private data and public records and b) providing applicants with advice and assistance throughout the duration of their requests. |
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| Summary of the main points: | <ol style="list-style-type: none">1. Accepting requests for information2. Rights of access to information3. Appropriate limit of charges |
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| Approved by: | This policy has been approved by the Wessex Board of Trustees |
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| Reviewer: | Sophia Radford (Data Protection Officer) / Karen Weir (Executive Assistant) |

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Statement of intent

As an educational provider, Wessex Multi Academy Trust has an obligation to publish a Freedom of Information statement, outlining how we will meet our duties under the Freedom of Information Act 2000 and associated regulations. The development and effective implementation of this policy fulfils that requirement.

More specifically, this policy outlines our Trust's policy and procedures for:

- The release and publication of private data and public records.
- Providing applicants with advice and assistance throughout the duration of their requests.

It also clarifies our position regarding the appropriate limit to the costs incurred by The Trust/academy in obtaining any requested information, and on charging fees for its provision.

This policy will apply to any person who wishes to request information held by Wessex Multi Academy Trust subject to the Freedom of Information Act 2000

1. Legal framework

1.1. This policy has due regard to the following legislation:

- The UK General Data Protection Regulation (UK GDPR)
- The Data Protection Act 2018
- The Freedom of Information Act 2000
- The Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004

1.2. This policy also has due regard to guidance including, but not limited to, the following:

- Cabinet Office (2018) 'Freedom of Information Code of Practice'
- ICO (2021) 'Definition document for the governing bodies of maintained and other state-funded schools in England'
- ICO (2015) 'Model publication scheme'
- ICO (2016) 'Duty to provide advice and assistance (section 16)'
- ICO (2015) 'Time limits for compliance under the Freedom of Information Act (section 10)'

1.3. This policy will be viewed in conjunction with the following other policies:

- Data Protection Policy
- Freedom of Information Policy
- Freedom of Information Publication Scheme
- Records Management Policy

2. Accepting requests for information

2.1. The Trust/academy will only accept a request for information which meets all of the following criteria:

- It is in writing; this includes requests sent to official social media accounts
- It states the name of the applicant and an address for correspondence
- It adequately describes the information requested
- A request will be treated as made in writing if it meets all the following requirements:
 - It is transmitted by electronic means
 - It is received in legible form
 - It is capable of being used for subsequent reference

2.2. Where a request is submitted in a foreign language, The Trust/academy are not expected to obtain a translation of the request. For the request to be processed, we will ask the applicant to provide their request in English.

2.3. The Trust will publish details of its procedures for dealing with requests for information on www.wessex.ac, which includes the following:

- A contact address and email address
- A telephone number
- A named individual to assist applicants with their requests

3. General rights of access to information held by the Trust and its academies

3.1. Provided that the request meets the requirements set out in section 2 of this policy, The Trust/academy will comply with their duty to:

- Confirm or deny to any person making a request for information to the Trust/academy, whether they hold information of the description specified in the request.
- Provide the documentation if the the Trust/academy confirms that is holds the requested information.

3.2. This will be completed no later than 20 school days, or 60 working days if this is shorter, from receipt of the request. Where a fee is charged, the timeframe within which The Trust/academy has to respond to the request begins from the day the fee is received.

3.3. The Trust/academy will not comply with this duty where:

- We reasonably required further information to meet a Freedom of Information request, have informed the applicant of this requirement, but were not subsequently supplied with that further information.
- The information is no longer readily available as it is contained in files that have been placed in archive storage or is difficult to access for similar reasons.
- A request for information is exempt under section 2 of the Freedom of Information Act 2000.
- The cost of providing the information exceeds the appropriate limit.
- The request is vexatious.
- The request is a repeated request from the same person made within 60 consecutive working days of the initial one.
- A fee notice was not honoured.
- The requested information is not held by The Trust/academy for the purposes of their business.

3.4. Where information is, or is thought to be, exempt, we will, within 20 school days, give notice to the applicant which:

- States that fact.

- Specifies the exemption in question.
- 3.5. If information falls within scope of a qualified exemption and The Trust/academy needs additional time to consider the public interest test, they may extend the deadline. In most cases, the extension will exceed no more than a further 20 school days; however, the actual length of the extension will be decided on a case-by-case basis.
- 3.6. Where a public interest test extension is required, we will write to the applicant to inform them of this, stating the following information:
- Which exemption(s) the extension relies on and why
 - A revised deadline for when the applicant will receive their response
- 3.7. Where a deadline has to be further extended, we will write to the applicant again, stating the information outlined above.
- 3.8. Requests for information that are not recorded by the Trust/academy (e.g. requests for explanations, clarification of policy and comments on the Trust or the academy's business) will not be considered valid requests. In these cases, the applicant will be provided with an explanation of why their request will not be treated under the Freedom of Information Act 2000 and we will respond to the applicant through other channels as appropriate.
- 3.9. The information provided to the applicant will be in the format that they have requested, where possible. Where it is not possible to provide the information in the requested format, we will assist the applicant by discussing alternative formats in which it can be provided. The information provided will also be in the language in which it is held, or another language that is legally required. If, under relevant disability and discrimination regulations, we are legally obliged to provide the information in other forms and formats, we will do so.
- 3.10. In some cases, a request may be dealt with under more than one access regime, e.g. if the request involves both information about the Academy and personal information, it will be dealt with under the Freedom of Information Act 2000 and the Data Protection Act 2018.
- 3.11. Staff are made aware that it is a criminal offence to alter, deface, block, erase, destroy or conceal any information held by the Trust/academy with the intention of preventing disclosure following a request.

4. The appropriate limit

- 4.1. The Trust/academy will not comply with any Freedom of Information request that exceeds the statutorily imposed appropriate limit of £450.
- 4.2. When determining whether the cost of complying with a Freedom of Information request is within the appropriate limit, The Trust/academy will take account only of the costs we reasonably expect to incur in relation to:
 - Determining whether we hold the information.
 - Locating the information, or a document which may contain the information.
 - Retrieving the information, or a document which may contain the information.
 - Extracting the information from a document containing it.
 - Costs related to the time spent by any person undertaking any of the activities outlined in this policy on our behalf, are to be estimated at a rate of £25 per person per hour.
- 4.3. The Trust/academy are not required to search for information in scope of a request until it is within the cost limit. If responding to one part of a request would exceed the cost limit, we do not have to respond to any other parts of the request.
- 4.4. Where multiple requests for information are made to the Trust/academy within 60 consecutive working days of each other, either by a single person or by different persons who appear to be acting in concert, the estimated cost of complying with any of the requests is to be taken to be the total costs to them of complying with all of them.

5. Charging fees

- 5.1. The Trust/academy may, within 20 school days, give an applicant who has requested information from them, a written notice stating that a fee is to be charged for our compliance.
- 5.2. Charges may be made for disbursements, such as the following:
 - Production expenses, e.g. printing and photocopying
 - Transmission costs, e.g. postage
 - Complying with the applicant's preferences about the format in which they would like to receive the information, e.g. scanning to a CD
- 5.3. Fees charged will not exceed the total cost to the Trust/academy of:
 - Informing the person making the request whether we hold the information.
 - Communicating the information to the person making the request.

- 5.4. Where a fee is to be charged, the Trust/academy will not comply with the [General rights of access to information held by the Trust and its academies](#) section of this policy unless the requested fee is paid within a period of three months, beginning with the day on which the fees notice is given to the applicant.
- 5.5. Where a fee is paid by cheque, the Trust/academy have the right to wait until the cheque is cleared before commencing work. Once a fee is received, they will inform the applicant of the revised response deadline, i.e. an additional 20 school days (or 60 working days).
- 5.6. Where the Trust/academy have underestimated the cost to be charged to an applicant, a second fees notice will not be issued; instead, they will bear the additional costs. The Trust/academy will not take into account any costs which are attributable to the time spent by persons undertaking any of the activities mentioned in the [Charging fees](#) section of this policy.
- 5.7. When calculating the 20th school day in which to respond to a Freedom of Information request, the period beginning the day on which the fee notice is given to the applicant and ending with the day on which the fee is received will be disregarded.

6. Means of communication

- 6.1. Where, on making a request for information, the applicant expresses a preference for communication by any one of the following means, we will (as far as is practicable) give effect to that preference:
- The provision to the applicant of a copy of the information in permanent form or in another form acceptable to the applicant.
 - The provision to the applicant of a reasonable opportunity to inspect a record containing the information.
 - The provision to the applicant of a digest, or summary of the information, in permanent form or in another form acceptable to the applicant.
- 6.2. Where a preference is not stated by the applicant, we will communicate by any means which are reasonable under the circumstances. For example, where an applicant uses Twitter to make a request, we may respond via an alternative medium (as Twitter restricts the length of a response).

7. Providing advice and assistance

- 7.1. The Trust/academy will meet their duty to provide advice and assistance, as far as is reasonable, to any person who proposes to make, or has made, requests for information to them.

7.2. The Trust/academy may offer advice and assistance in the following circumstances:

- If an individual requests to know what types of information we hold, and the format in which it is available, as well as information on the fees, regulations and charging procedures.
- If a request has been made, but we are unable to regard it as a valid request due to insufficient information, leading to an inability to identify and locate the information.
- If a request has been refused, e.g. due to an excessive cost, and it is necessary for The Trust/academy to assist the individual who has submitted the request.

7.3. The Trust/academy will provide assistance for each individual on a case-by-case basis; examples of how we will provide assistance include the following:

- Informing an applicant of their rights under the Freedom of Information Act 2000
- Assisting an individual in the focus of their request, e.g. by advising of the types of information available within the requested category
- Advising an applicant if information is available elsewhere and how to access this information
- Keeping an applicant informed on the progress of their request

7.4. Where the Trust/academy wish to ask a different public authority to deal with a request by transferring it to them, this will only be done with the agreement of the applicant.

7.5. In order to provide assistance as outlined above, we will engage in the following good practice procedures:

- Make early contact with an individual and keep them informed of the process of their request
- Accurately record and document all correspondence concerning the clarification and handling of any request
- Give consideration to the most appropriate means of contacting the applicant, taking into account their individual circumstances
- Discuss with the applicant whether they would prefer to receive the information in an alternative format, in cases where it is not possible to provide the information requested in the manner originally specified
- Remain prepared to assist an applicant who has had their request denied due to an exemption

7.6. We will give particular consideration to what level of assistance is required for an applicant who has difficulty submitting a written request.

7.7. In circumstances where an applicant has difficulty submitting a written request, we will:

- Make a note of the application over the telephone and then send the note to the applicant to confirm and return – the statutory time limit for a reply would begin here.
- Direct the individual to a different agency that may be able to assist with framing their request.

Please note: This list is not exhaustive, and the Trust/academy may decide to take additional assistance measures that are appropriate to the case.

7.8. Where an applicant's request has been refused either because the information is accessible by other means, or the information is intended for future publication or research, we will, as a matter of good practice, provide advice and assistance.

7.9. We will advise the applicant how and where information can be obtained if it is accessible by other means.

7.10. Where there is an intention to publish the information in the future, we will advise the applicant of when this publication is expected. If the request is not clear, we will ask for more detail from the applicant in order to identify and locate the relevant information, before providing further advice and assistance.

7.11. If the Trust/academy believes the applicant has not provided their real name, we will inform the applicant that the request will not be responded to until further information is received from the applicant.

7.12. If we are able to clearly identify the elements of a request, we will respond following usual procedures and will provide advice and assistance for the remainder of the request. If any additional clarification is needed for the remainder of a request, we will ensure there is no delay in asking for further information.

7.13. Applicants are given **two months** to provide any requested clarification. If an applicant decides not to follow our advice and assistance and fails to provide clarification, the Trust/academy are under no obligation to contact the applicant again.

7.14. If the Trust/academy are under any doubt that the applicant did not receive the advice and assistance, we will re-issue it. We are not required to provide assistance where an applicant's request is vexatious or repeated, as defined under section 14 of the Freedom of Information Act 2000.

7.15. Where we have sent a refusal request in relation to a previous vexatious request, we are not obliged to send another notice for future vexatious requests.

7.16. An ongoing evidence log is kept, recording relevant correspondence or behaviour that has been taken into account when a request has been classed as vexatious.

7.17. The Trust/academy are not required to provide information where the cost of complying with a request exceeds the limit outlined in the Freedom of Information Act 2000. In such cases, we will firstly provide the applicant with advice and assistance to help them reframe or refocus their request with a view of bringing it within the cost limit. Then we will consider whether any information can be provided free of charge if the applicant refuses to pay the fee.

7.18. If a request is refined, it will be treated as a new request.

7.19. A record will be kept in the Trust central office or in the main office of the relevant academy of all the advice and assistance provided.

8. Consultation with third parties

8.1. We may need to consult third parties about information held in scope of a request to consider whether it would be suitable to disclose the information. Situations where third parties may need to be consulted include the following:

- When requests relate to persons or bodies who are not the applicant and/or the Trust/academy
- When the disclosure of information is likely to affect the interests of persons or bodies who are not the applicant or the Trust/academy

8.2. The Trust/academy will consider if a third party needs to be directly consulted about a request, particularly, if there are contractual obligations that require consultation before information is disclosed.

8.3. Third parties will also be consulted where we are proposing to disclose information relating to them or information that is likely to affect their business or private interests.

8.4. The views of third parties will be given appropriate weighting when deciding how to respond to a request. For example, if the third party created or provided the information, they may have a better understanding of its sensitivity.

8.5. It is ultimately the decision of the Trust/academy as to whether information in scope of a request will be released following any relevant consultation.

- 8.6. Where the Trust/academy decide to release information following consultation with a third party, the third party will be informed in advance that the information is going to be disclosed.
- 8.7. Where the Trust/academy intend to release information that relates to a large number of third parties, we will consider whether it would be more appropriate to contact a representative organisation who can express views on behalf of the third parties, rather than contacting each party individually. If no representative organisation exists, we may also consider only notifying or consulting a sample of the third parties relating to the disclosure. Decisions will be made on a case-by-case basis.

9. Internal reviews

- 9.1. When responding to requests for information, the details of the Trust/academy internal review processes will be set out, including information about how applicants can request an internal review. Applicants will also be informed of their right to complain to the ICO if they are still dissatisfied following the outcome of the school's internal review.
- 9.2. Requests for an internal review should be made in writing to the Trust/academy.
- 9.3. For a request for an internal review to be accepted, it must be made within 40 school days from the date that the Trust/academy issued an initial response to the request.
- 9.4. Upon receipt of an application, we will acknowledge an application and inform the applicant of the intended response date. Responses will usually be delivered within 20 school days of receipt of the application.
- 9.5. If an internal review is complex, requires consultation with third parties or the relevant information is of high volume, we may need to extend the usual response timeframe. In these cases, we will inform the applicant and provide an alternative response date. In most cases, the extension will exceed no more than a further 20 school days; however, the actual length of the extension will be decided on a case-by-case basis.
- 9.6. Where clarification is needed from an applicant regarding the review, the normal response period will not begin until clarification is received. Wherever possible, the review will be undertaken by a different member of staff than the person who took the original decision. During a review, we will evaluate the handling of the request; particular attention will be paid to concerns raised by the applicant.
- 9.7. The applicant will be informed of the outcome of the review and a record will be kept of such reviews and the final decision that is made. If the outcome of the

review is to disclose information that was previously withheld, the information will be provided to the applicant at the same time they are informed of the response to the review, where possible. If this is not possible, the applicant will be informed of when the information will be provided.

9.8. Within the response to a review, the applicant will be informed again of their right to complain to the ICO.

10. Monitoring and review

10.1. This policy will be reviewed on an annual basis, or in light of any changes to relevant legislation.

11. Publication scheme

11.1. The Trust/academy will meet our duty to adopt and maintain a publication scheme which specifies the information which we will publish on the Trust or individual academy websites, and whether the information will be available free of charge or on payment.

11.2. The publication scheme will be reviewed and, where necessary, updated on an annual basis. The publication scheme can be found here: [model-publication-scheme.pdf \(ico.org.uk\)](#)

12. Categories of information published

12.1. The Publication Scheme guides you to information which we currently publish (or have recently published) or which we will publish in the future. This is split into categories of information known as 'classes'.

12.2. The classes of information that we undertake to make available are organised into five broad topic areas:

- Who we are and what we do
- What we spend and how we spend it
- What our priorities are and how we are doing
- How we make decisions
- Our policies and procedures

13. How to request information

13.1. If you require a paper version of any of the documents within the scheme, please contact WMAT by telephone, email or letter. Contact details are set out below or you can visit our website at www.wessexmat.ac

Email: admin@wessex.ac Tel: **01305 231510**
Contact Address: **Suite A, Wessex Multi Academy Trust, Paceycombe House, Paceycombe Way, Poundbury, Dorchester, DT1 3WB**

- 13.2. To help us process your request quickly, please clearly mark any correspondence **"PUBLICATION SCHEME REQUEST"** (in CAPITALS please)
- 13.3. If the information you are looking for is not available via the FOI Scheme and not showing on the academy or WMAT website, you are still able to contact WMAT to ask if it is available.

Classes of Information Currently Published

Class 1 – who we are and what we do

| Information to be published | How the information can be obtained (hard copy and/or website) | Cost |
|---|---|-------------|
| Who’s who on the WMAT Board and the basis of their appointment | Trust Website | No charge |
| Local Governor details in accordance with Government legislation | Individual Academy Website | No charge |
| Contact details for the School Headteacher/Head of School (named contacts where possible) with telephone number and email address (if used) | Individual Academy Website | No charge |
| School Prospectus (optional) | Individual Academy Website | No charge |
| Staffing | Trust and Individual Academy Websites | No charge |
| School session times and term dates | Individual Academy Website | No charge |

Class 2 – what we spend and how we spend it

| Information to be published | How the information can be obtained (hard copy and/or website) | Cost |
|------------------------------------|---|-------------|
| Statutory accounts | Trust Website | No charge |

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|--------------------------------|---|-----------|
| Procurement and projects | Hard Copy on request from admin@wessex.ac | No charge |
| Pay Policy | Trust Website | No charge |
| Staffing and grading structure | Hard Copy on request from admin@wessex.ac | No charge |

Class 3 – what our priorities are, and how they are monitored

| Information to be published | How the information can be obtained (hard copy and/or website) | Cost |
|--|---|-------------|
| School profile <ul style="list-style-type: none"> • Government supplied performance data • Latest Ofsted report – Summary and Full Report • Examination Results | Individual Academy Website | No charge |
| Performance Management Policy and procedures adopted by the Wessex MAT board | Trust Website | No charge |
| Safeguarding Policies and procedures | Trust and Individual Academy Websites | No charge |

Class 4 – how we make decisions

| Information to be published | How the information can be obtained (hard copy and/or website) | Cost |
|---|--|-------------|
| Admissions Policy/ decisions (not individual admission decisions) | Individual Academy Website | No charge |

Class 5 – our policies and procedures

| Information to be published | How the information can be obtained (hard copy and/or website) | Cost |
|---|--|-------------|
| Trust policies including: <ul style="list-style-type: none">• Complaints procedure• Health and Safety• Equality and Diversity (including Equal Opp.) policies• Staff recruitment policies | Trust and Individual Academy Websites | No charge |
| <ul style="list-style-type: none">• Staff Conduct Policy• Discipline and grievance policies | Trust and Individual Academy Websites | No charge |
| Pupil and Curriculum policies, including: <ul style="list-style-type: none">• Curriculum• Sex Education• Special Educational Needs• Accessibility• Race equality• Collective Worship• Behaviour | Trust and Individual Academy Websites | No charge |

FOI Request Evidence Log

Appendix B

| Date of request | Format (e.g. email or in writing) | Location (Where is the correspondence stored?) | Requester | Repeated request? (Yes/No) | Deemed vexatious or repeated? (Yes/No) | Comments |
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